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Attorney Docket No.: 11884/407501

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS

Suresh R. BABU

SERIAL NO.

10/776,619

FILED

February 12, 2004

FOR

AUTOMATED RECALL MANAGEMENT SYSTEM FOR ENTERPRISE MANAGEMENT APPLICATIONS

GROUP ART UNIT

3629

EXAMINER

Dennis William RUHL

M/S: AMENDMENT Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Certificate of Mailing

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Date: June 1, 2005

Barbara Vance

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Submitted herewith is an Information Disclosure Statement under 37 CFR §1.97(b)(3) with three (3) reference(s) listed on the attached PTO-1449 (modified) form. A copy of the cited references is not enclosed since the present application was filed after June 30, 2003. (*See* OG Dated: August 5, 2003).

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

06/03/2005 RFEKADU1 00000026 110600 10776619

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This Information Disclosure Statement is being filed after three months of the filing date of the above-identified application or after the mailing date of the first Office Action on the merits, which event occurred last but before the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

If any fee is required, the Office is hereby authorized to charge any fees, or credit any overpayments under 37 CFR §1.17(p) to Kenyon & Kenyon, Deposit Account No. 11-0600.

Respectfully submitted,

KENYON & KENYON

Dated: June 1, 2005

sy: XIVIIIV

(Reg. No. 47,815)

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Form PTO-1449 (modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT

Applicant Suresh R. BABU	10/776,619
5 W. VOII 14: 21 12 C	

3629

U. S. PATENT DOCUMENTS

February 12, 2004

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE*
	**6,381,509	April 30, 2002	Thiel et al.	700	115	November 2, 1998
	**2002/0082736	June 27, 2002	Lech et al.	700	108	December 27, 2000
	**2003/0065978	April 3, 2003	Adams	714	38	October 1, 2001

^{**}A copy is not enclosed since the present application was filed after June 30, 2003. (See OG Dated: August 5, 2003)

FOREIGN PATENT DOCUMENTS

-							TRANSLATION	
	EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	•							

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Approved for use through 07/31/2006, OMB 0551-0303 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ORM 10 3 2005 W		Application Numb	per	10/776,6	10/776,619		
		Filing Date		Februar	February 12, 2004		
		First Named Inve	ntor	Suresh R. BABU			
		Art Unit		3629	3629		
The used tornal correspondence after	Examiner Name	xaminer Name		William RUHL			
Total Number of Pages in this Submissi	Attorney Docket I	Number	11884/4	07501			
ENCLOSURES (check all that apply)							
Fee Transmittal Form	Drawing(s		at apply)	After Allo	wance Communication to TC		
					ommunication to Board		
Fee Attached	Licensing	-related Papers		—	s and Interferences		
Amendment / Reply	Petition		·	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final		Convert to a l		Proprieta	ry Information		
Affidavits/declaration(s)		Attorney, Revocation f Correspondence Ad		Status Le	tter		
Extension of Time Request	Terminal	Disclaimer	(closure(s)		
	or Refund		1. Postcard				
Express Abandonment Request	per of CD(s)						
Information Disclosure Statement	dscape Table on CD						
Certified Copy of Priority Document(s)	Priority						
Reply to Missing Parts/							
Incomplete Application							
Reply to Missing Parts							
under 37 CFR1.52 or 1.53							
Firm		APPLICANT, ATTO	KNEY, OR	AGENT			
FIIIII	Kenyon &	Kenyon					
Signature	- 711	ما					
Printed Name	T. Neal						
Date	005	Reg. No.	47,815				
	CERTIFIC	ATE OF TRANSMISS	SION/MAIL	ING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date-spown below. M/S: AMENDMENT							
Signature PARA VANCE							
Typed or printed name Barba	ra Vance			Date	June 1, 2005		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

11884/407501

Per the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 1 3 FORE TRANSMITTAL Complete if Known 10/776,619 **Application Number** for FY 2005 February 12, 2004 Filing Date Suresh R. BABU First Named Inventor g/01/2004. Patent fees are subject to annual revision. **Dennis William RUHL Examiner Name** ☐ Applicant claims small entity status. See 37 CFR 1.27 3629 Art Unit

Attorney Docket No.

180.00

TOTAL AMOUNT OF PAYMENT

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None	3. AD	OITIO	VAL FE	ES		
Order Deposit Account:	Large	Entity	Small I	ntity		
Deposit		Fee	Fee	Fee	For Department - For D	a lei
Account Number 11-0600		(\$)	Code	(\$)	Fee Description Fee Pa	ald
Nomber	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account Kenyon & Kenyon	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Account Name Kenyon & Kenyon	1053	130	1053	130	Non-English specification	
The Director is authorized to: (check all that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
☐ Charge fee(s) indicated below ☐ Credit any overpayments ☐ Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920°	Requesting publication of SIR prior to Examiner action	
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	* Requesting publication of SIR after Examiner action	
	1251	120	2251	60	Extension for reply within first month	
FEE CALCULATION	1252	450	2252	225	Extension for reply within second month	
1. BASIC FILING FEE	1253	1,020	2253	510	Extension for reply within third month	
Large Entity Small Entity	1254	1,590	2254	795	Extension for reply within fourth month	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$) Fee Paid	1255	2,160	2255	1,080	Extension for reply within fifth month	
1001 790 2001 395 Utility filing fee	1401	500	2401	250	Notice of Appeal	
1002 350 2002 175 Design filing fee	1402	500	2402	250	Filing a brief in support of an appeal	
1003 550 2003 275 Plant filing fee	1403	1,000	2403	500	Request for oral hearing	
1004 790 2004 395 Reissue filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filling fee	1452	500	2452	250	Petition to revive – unavoidable	
SUBTOTAL (1) (\$) 0		1,500	2453	750	Petition to revive – unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1,400	2501	685	Utility issue fee (or reissue)	
	1502	490	2502	245	Design issue fee	
Extra Claims Fee from below Fee Paid	1503	660	2503	330	Plant issue fee	
Total Claims -20 ** = X 50.00 =	1460	130	1460	130	Petitions to the Commissioner	
Independent	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims X 200.00 =	1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
Multiple Dependent X =	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Large Entity Small Entity	1809	790	2809	395	Filing a submission after final rejection	
Fee Fee (\$) Fee Fee Code (\$) Fee Description	1810	790	2040	205	(37 CFR § 1.129(a))	
1202 50 2202 25 Claims in excess of 20	1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1201 200 2201 100 Independent claims in excess of 3	1				examined (37 OFR § 1.125(b))	
1203 360 2203 180 Multiple dependent claim, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)	
1204 200 2204 100 Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 50 2205 25 Reissue claims in excess of 20 and over original patent	Other fe	ee (speci	fv)			
SUBTOTAL (2) (\$) 0		• •		- - -		
	Reduc	ced by B	asic Filin	g ree P	SUBTOTAL (3) (\$) 180	00
**or number previously paid, if greater; For Reissues, see above					(\$) 180	7.00

SUBMITTED BY

Name (Print/Type)

Stephen T. Neal Registration No. (Attorney/Agent)

Signature

Complete (if applicable)

Telephone

(408) 975-7500

Date

June 1, 2005

WARNING. Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of timo you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.